

Express Mail No. **EL984586131US**Deposited on: **06/10/2004**

26-11-04

APPROVED  
for  
PTO DEPOSIT ACCOUNT CHARGE  
ACCOUNT# 04-1700

**DUNLAP, CODDING & ROGERS, P.C.**

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0851-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1FW  
1754

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/996,142
	Filing Date	11/28/2001
	First Named Inventor	Daniel E. Resasco
	Group Art Unit	1754
	Examiner Name	S. L. Hendrickson
Total Number of Pages in This Submission	Attorney Docket Number	5820.613

ENCLOSURES <i>(check all that apply)</i>				
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group		
<input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences		
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>		
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information		
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter		
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Terminal Disclaimer	See remarks below:		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Request for Refund			
	<input type="checkbox"/> CD, Number of CD(s) _____			
<table border="1"><tr><td>Remarks</td></tr><tr><td>1. Transmittal Form (1 page); 2. Fee Transmittal (1 page) Authorizing the Commissioner to charge indicated fees of \$268.00 (which includes \$268.00 for additional claims) and any additional fee required and/or credit any overpayments to Deposit Account Dunlap, Coddling &amp; Rogers, P.C.; 3. Fee Determination Record (1 page); 4. Amendment and Response (15 pages); 5. Change of Address Correspondence (1 page); and 6. Postcard</td></tr></table>			Remarks	1. Transmittal Form (1 page); 2. Fee Transmittal (1 page) Authorizing the Commissioner to charge indicated fees of \$268.00 (which includes \$268.00 for additional claims) and any additional fee required and/or credit any overpayments to Deposit Account Dunlap, Coddling & Rogers, P.C.; 3. Fee Determination Record (1 page); 4. Amendment and Response (15 pages); 5. Change of Address Correspondence (1 page); and 6. Postcard
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	DUNLAP, CODDING & ROGERS, P.C., Customer Number 30589 P. O. Box 16370, Oklahoma City, Oklahoma 73113; Christopher W. Corbett
Signature	
Date	6/10/04

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail or U.S. Express mail no. EL984586131US in an envelope addressed to the address below on this date: 06/10/2004	
Typed or printed name	Christopher W. Corbett, Reg. No. 36,109
Signature	Date 6/10/04

SEND TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

APPROVED

for

PTO DEPOSIT ACCOUNT CHARGE

ACCOUNT# 04-1700

Express Mail No.: EL984586131UA

Date Deposited: 06/10/2004

DUNLAP, CODDING &amp; ROGERS, P.C. and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0851-0032

# **FEE TRANSMITTAL** **for FY 2004**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 286

**Complete if Known**

Application Number	09/996.142
Filing Date	11/28/2001
First Named Inventor	Daniel E. Resasco et al.
Examiner Name	S. L. Hendrickson
Art Unit	1754
Attorney Docket No.	5820.613

**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

 Deposit  
Account  
Number  
Deposit  
Account  
Name

04-1700

Dunlap, Coddling & Rogers, P.C.  
Customer No. 30589

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$ 0)</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent Claims	50	** =	27	X	9	=	\$243
Multiple Dependent	4	** =	1	X	43	=	\$43
						=	\$0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>				

(\$ 286

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1808	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0

**SUBMITTED BY**

Name (Print/Type)

Christopher W. Corbett

Registration No.  
(Attorney/Agent)

36,109

(Complete if applicable)

Telephone (405) 607-8600

Signature

Date

06/10/2004

 Mail Stop Patent Application  
 Commissioner for Patents  
 PO Box 1450, Alexandria, VA 22313-1450

**EXPRESS MAIL NO.: EL984586131US**  
**Deposited On: June 10, 2004**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Application No.: 09/996,142**

**Confirmation No.: 3756**

**Applicant(s): Daniel E. Resasco et al.**

**Filed: 11/28/2001**

**TC/A.U.: 1754**

**Examiner: S. L. Hendrickson**

**Title: PROCESS AND APPARATUS FOR PRODUCING SINGLE-WALLED  
CARBON NANOTUBES**

**Docket No.: 5820.613**

**Customer No.: 30589**

**Mail Stop Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**AMENDMENT AND RESPONSE**

Sir:

In response to the Office Action mailed April 16, 2004, please amend the above-identified application as follows.

**Amendment to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 15 of this paper.

06/15/2004 ZJU HAR1 00000050 041700 09996142

01 FC:2201 43.00 DA  
02 FC:2202 243.00 DA